

Docket No. 296009US0PCT



MAIL STOP PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kenji SHIZUKA, et al.

SERIAL NO: 10/591,911

GAU:

FILED: September 7, 2006

EXAMINER:

FOR: LAYERED LITHIUM NICKEL MANGANESE COBALT COMPOSITE OXIDE POWDER FOR MATERIAL OF POSITIVE ELECTRODE OF LITHIUM SECONDARY BATTERY, PROCESS FOR PRODUCING THE SAME, POSITIVE ELECTRODE OF LITHIUM SECONDARY BATTERY THEREFROM, AND LITHIUM SECONDARY BATTERY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

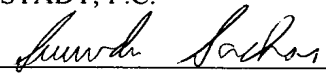
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
\_\_\_\_\_  
Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

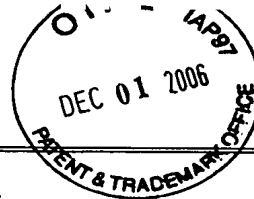
Registration No. 34,423



U.S. PCT Application Serial No: 10/591,911  
Filed: September 7, 2006  
Kenji SHIZUKA, et al.  
Docket No. 296009 US

#### STATEMENT OF RELEVANCY

- 1) References AO-AT have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) Reference AU is discussed in the specification. A copy of that reference is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
296009US0PCTSERIAL NO.  
10/591,911

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kenji SHIZUKA, et al.

FILING DATE

September 7, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2005 0158546	07/21/2005	KENJI SHIZUKA			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2003 31219	01/31/2003	JP		NO
	AP	2004 111076	04/08/2004	JP		NO
	AQ	2002 367610	12/20/2002	JP		NO
	AR	2003 197181	07/11/2003	JP		NO
	AS	2005 19206	01/20/2005	JP		NO
	AT	2005 25975	01/27/2005	JP		NO
	AU	2002 110167	04/12/2002	JP		NO
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

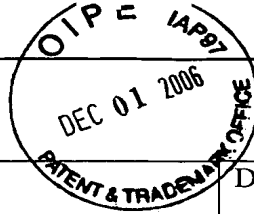
	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 296009US0PCT	Serial No.: 10/591,911
	Inventor: Kenji SHIZUKA, et al.	
	Filing Date: September 7, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	296009US0PCT*	10/591,911	09/07/06		SHIZUKA, et al.
	263390US0CONT	11/032,113	01/11/05	US2005/0158546 A1	SHIZUKA

Examiner

Date Considered

\*Present Application; listed for information  
NFO/sbs/kch